Docket No.: SHOBA6.001APC Customer No. 20995

INFORMATION DISCLOSURE STATEMENT

Applicant : Nagai, et al.

App. No : 10/588,428

Filed: August 4, 2006

For : FUNCTIONAL BEVERAGE AND

COMPOSITION

Examiner : Perreira, Melissa Jean

Art Unit : 1618

Conf No. : 9228

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir

Enclosed for filing in the above-identified application is a PTO/SB/08 Equivalent listing 2 (two) references, of which 2 (two) are enclosed/submitted.

This Information Disclosure Statement is being filed before the mailing date of a final action and before the mailing date of a Notice of Allowance.

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Application No.: 10/588,428 Filing Date: August 4, 2006

Thus, no fee is required as set forth in 37 C.F.R. § 1.97(c), however, the Commissioner is hereby authorized to charge any additional fees which may be required or to credit any overpayment to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: ______

Neil S. Bartfold, Ph.D. Registration No. 39,901 Agent of Record Customer No. 20995 (858) 707-4000

11546578